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May 8, 2002

### CERTIFICATE OF MAILING 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, DC 20231, on the date below:

May 8, 2002

Date

Aaron A. Weiss

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Commissioner for Patents  
Washington, DC 20231

RE: S/N 10/068,295 "*Application-Specific Information-Processing System, Method and Apparatus*" – Oscar R. Mitchell et al.  
Our File No. 501143.000019

Sir:

Enclosed for filing in the above-referenced patent application is:

1. Response to Notice to File Missing Parts of Nonprovisional Application (2 pp.);
2. Declaration for Utility or Design Patent Application (37 CFR 1.63) (6 pp.);
3. Election Under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney (2 pp.);
4. Fee Transmittal Sheet (1 p.);
5. Check in the amount of \$453.00 payable to the Commissioner for Patents;
6. A copy of the Notice to File Missing Parts of Nonprovisional Application (1 p.); and
7. Postcard.

Commissioner for Patents

May 8, 2002

Page 2

Should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is hereby authorized to deduct said fees from Thompson & Knight, L.L.P.'s Deposit Account No. 20/0821-501143.000019/AAW.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,



Aaron A. Weiss

Reg. No. 46,163

Encl:

AAW:ks



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Oscar R. Mitchell et al.

Serial No.: 10/068,295

Filed: February 5, 2002

For: Application-Specific Information-  
Processing System, Method and Apparatus

Group Art Unit: 2151

Examiner: Not Assigned

Atty. Dkt. No.: 501143.000019

CERTIFICATE OF MAILING  
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on the date below:

May 8, 2002  
Date

  
Aaron A. Weiss

**RESPONSE TO NOTICE  
TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

Box MISSING PARTS  
Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37

C.F.R. §1.53(d)(1), mailed April 2, 2002, there are enclosed herewith:

- (a) Declaration for Utility or Design Patent Application (37 CFR 1.63);
- (b) Election Under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney;
- (c) Fee Transmittal Sheet;
- (d) Check in the amount of \$453.00 payable to the Commissioner for Patents;
- (e) A copy of the Notice to File Missing Parts of Nonprovisional Application; and
- (f) Postcard.

Should any additional fees be required under 37 C.F.R. §§ 1.16 to 1.21 for any reason relating to the enclosed materials, the Assistant Commissioner is authorized to deduct said fees from Thompson & Knight, L.L.P.'s Deposit Account No. 20-0821/501143.000019/AAW.

Respectfully submitted,



Aaron A. Weiss  
Reg. No. 46,163  
Attorney for Applicant

THOMPSON & KNIGHT L.L.P.  
98 San Jacinto Boulevard, #1200  
Austin, Texas 78701-4081  
512.469.6100

Date: May 8, 2002

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 453.00

**Complete if Known**

Application Number	10/068,295
Filing Date	02/05/2002
First Named Inventor	Oscar R. Mitchell
Examiner Name	
Group Art Unit	2151
Attorney Docket No.	501143.000019

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	20-0821/501143.000019
Deposit Account Name	Thompson & Knight, L.L.P.

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	370.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ ) 370.00

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
22	-20** = 2	9.00	18.00
Independent Claims	-3** =		
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 18.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 65.00

**SUBMITTED BY**

Name (Print/Type)	Aaron A. Weiss	Registration No. (Attorney/Agent)	46,163	Telephone	512-469-6177
Signature	<i>A. Weiss</i>	Date			

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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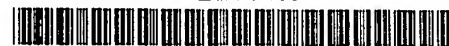
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/068,295	02/05/2002	Oscar R. Mitchell	501143.000008

CONFIRMATION NO. 9657

FORMALITIES LETTER



\*OC000000007763978\*

27644  
THOMPSON & KNIGHT L.L.P.  
PATENT PROSECUTION DEPARTMENT  
98 SAN JACINTO BLVD., SUITE 1200  
AUSTIN, TX 78701

Date Mailed: 04/02/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$18.  
    ■ \$18 for 2 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 453.

Void date: 05/22/2002 JADD01  
05/22/2002 JADD01 00000051 10068295  
01 FC:201 370.00  
02 FC:205 18.00  
03 FC:205 65.00

A copy of this notice **MUST** be returned with the reply.

Muhammed Kibret  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/22/2002 JADD01 00000051 10068295  
01 FC:201 370.00  
02 FC:205 18.00  
03 FC:205 65.00

05/22/2002 JADD01 00000079 10068295  
01 FC:201 370.00  
02 FC:203 18.00  
03 FC:205 65.00